PD CEN/TS 16800:2015



BSI Standards Publication

Guideline for the validation of physico-chemical analytical methods



National foreword

This British Standard is the UK implementation of CEN/TS 16800:2015.

The UK participation in its preparation was entrusted to Technical Committee EH/3/2, Water analysis.

A list of organizations represented on this committee can be obtained on request to its secretary.

This publication does not purport to include all the necessary provisions of a contract. Users are responsible for its correct application.

© The British Standards Institution 2015. Published by BSI Standards Limited 2015

ISBN 978 0 580 87777 3

ICS 13.060.50

Compliance with a British Standard cannot confer immunity from legal obligations.

This British Standard was published under the authority of the Standards Policy and Strategy Committee on 31 December 2015.

Amendments/corrigenda issued since publication

Date Text affected

TECHNICAL SPECIFICATION

CEN/TS 16800

SPÉCIFICATION TECHNIQUE TECHNISCHE SPEZIFIKATION

December 2015

ICS 13.060.50

English Version

Guideline for the validation of physico-chemical analytical methods

Lignes directrices pour la validation des méthodes d'analyse physico-chimiques

Anleitung zur Validierung physikalisch-chemischer Analysenverfahren

This Technical Specification (CEN/TS) was approved by CEN on 14 March 2015 for provisional application.

The period of validity of this CEN/TS is limited initially to three years. After two years the members of CEN will be requested to submit their comments, particularly on the question whether the CEN/TS can be converted into a European Standard.

CEN members are required to announce the existence of this CEN/TS in the same way as for an EN and to make the CEN/TS available promptly at national level in an appropriate form. It is permissible to keep conflicting national standards in force (in parallel to the CEN/TS) until the final decision about the possible conversion of the CEN/TS into an EN is reached.

CEN members are the national standards bodies of Austria, Belgium, Bulgaria, Croatia, Cyprus, Czech Republic, Denmark, Estonia, Finland, Former Yugoslav Republic of Macedonia, France, Germany, Greece, Hungary, Iceland, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, Netherlands, Norway, Poland, Portugal, Romania, Slovakia, Slovenia, Spain, Sweden, Switzerland, Turkey and United Kingdom.



EUROPEAN COMMITTEE FOR STANDARDIZATION COMITÉ EUROPÉEN DE NORMALISATION EUROPÄISCHES KOMITEE FÜR NORMUNG

CEN-CENELEC Management Centre: Avenue Marnix 17, B-1000 Brussels

Cont	tents	Page
Europ	ean foreword	4
Introd	luction	5
1	Scope	6
2	Normative references	6
3	Terms and definitions	6
4	Concept	13
4.1	The concept of two validation levels	
4.2	First level - Validation 1 (V1)	
4.3	Second level - Validation 2 (V2)	
4.4	Method validation using a modular approach	
4.4.1	Validation modules	
4.4.2	Module A: Test method definition, documentation and general requirements	13
4.4.3	Module B: Applicability domain and pre-validation	
4.4.4	Module C: Intra-laboratory performance	
4.4.5	Module D: Inter-laboratory performance	
4.5	Method classification	
5	Documentation of the validation process	16
6	Validation 1 (V1): Intra-Laboratory Validation	17
6.1	General	17 17
6.2	Module A: Test method definition, documentation and general requirements	
6.3	Module B: Applicability domain and pre-validation	
6.4	Module C: Intra-laboratory performance	
6.4.1	General	
6.4.2	Bias	
6.4.3	Precision	
6.4.4	Calibration data and function	
6.4.5	Limits and application range	
6.4.6	Selectivity	
6.4.7	Robustness	
6.4.8	Measurement uncertainty	
7	Validation 2 (V2): Inter-Laboratory Validation	23
7.1	General	
7.2	Method definition and description	
7.3	Module C: Intra-laboratory performance	
7.4	Module D: Inter-laboratory performance	
7.4.1	General	
7.4.2	General set-up of the inter-laboratory study	
7.4.3	The inter-laboratory study	
7.4.4	Statistical analysis and calculation of the results	
7.4.5	Evaluation of the fitness for purpose	
7.5	Documentation, publication and standardization	
Annes	A (normative) Module A: Test method definition, documentation and general	
	requirements	32

Annex B (normative) Module B: Applicability domain and pre-validation	34
Annex C (normative) Module C: Intra-laboratory performance	35
Annex D (normative) Module D: Requirements for an inter-laboratory validation study	37
Annex E (informative) Structure and content of the documentation for a validation study (V2)	39
Annex F (informative) Robustness testing by systematic variation of influencing factors	
Bibliography	46

European foreword

This document (CEN/TS 16800:2015) has been prepared by Technical Committee CEN/TC 230 "Water analysis", the secretariat of which is held by DIN.

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. CEN [and/or CENELEC] shall not be held responsible for identifying any or all such patent rights.

According to the CEN-CENELEC Internal Regulations, the national standards organizations of the following countries are bound to announce this Technical Specification: Austria, Belgium, Bulgaria, Croatia, Cyprus, Czech Republic, Denmark, Estonia, Finland, Former Yugoslav Republic of Macedonia, France, Germany, Greece, Hungary, Iceland, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, Netherlands, Norway, Poland, Portugal, Romania, Slovakia, Slovenia, Spain, Sweden, Switzerland, Turkey and the United Kingdom.

Introduction

Environmental monitoring of chemical substances is increasingly carried out within a European framework, and there is concern about the comparability of data at the European level. In particular methods used for the monitoring of substances with recent interest have often not been properly validated either in-house (i.e. within a single laboratory) or at the international level.

These issues may be addressed by adopting a harmonized approach towards method development and validation. The main objective of this document is to provide a common European approach to the validation of chemical methods for the respective monitoring of chemical substances in a broad range of matrices. Although the development of this approach was triggered by the needs for monitoring of emerging pollutants, it is of general nature and can be applied to the measurement of a wide range of substances in a variety of matrices.

This guidance takes into account the different requirements for the level of method maturity and validation at different stages of the investigation or regulation of chemical substances.

In the case of a specific monitoring task, this protocol will guide the user through the following steps:

- classification of existing methods with respect to their status of validation, and the selection of the appropriate validation approach;
- development of a method so as to extend its application; for example, if a method for determining a required target compound in a particular matrix is available, but is not suitable for the same compound in a different matrix of interest;
- the validation procedures to be carried out in order to effectively demonstrate the validation status of a selected method according to the two approaches adopted.

Many (national and international) standards currently contain in their scope a statement like "this method is applicable from a concentration level of $xx \mu g/l$ or yy mg/kg dry matter", without any statement how this concentration level was established. When the limit of quantification (LOQ) is evaluated using the procedure of this Technical Specification, there is a possibility that it does not meet the lower limit of the claimed range.

1 Scope

This Technical Specification describes an approach for the validation of physico-chemical analytical methods for environmental matrices.

The guidance in this document addresses two different validation approaches, in increasing order of complexity. These are:

- a) method development and validation at the level of single laboratories (intra-laboratory validation);
- b) method validation at the level of several laboratories (between-laboratory or inter-laboratory validation), with a focus on methods that are sufficiently mature and robust to be applied not only by a few expert laboratories but by laboratories operating at the routine level.

The concept of these two approaches is strictly hierarchical, i.e. a method shall fulfil all criteria of the first level before it can enter the validation protocol of the second level.

This Technical Specification is applicable to the validation of a broad range of quantitative physicochemical analytical methods for the analysis of water (including surface water, groundwater, waste water, and sediment). Analytical methods for other environmental matrices, like soil, sludge, waste, and biota can be validated in the same way. It is intended either for analytical methods aiming at substances that have recently become of interest or for test methods applying recently developed technologies.

The minimal requirements that are indispensable for the characterization of the fitness for purpose of an analytical method are: selectivity, precision, bias and measurement uncertainty. The aim of validation is to prove that these requirements are met.

2 Normative references

The following documents, in whole or in part, are normatively referenced in this document and are indispensable for its application. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ISO 78-2, Chemistry — Layouts for standards — Part 2: Methods of chemical analysis

ISO 5725, Chemistry — Layouts for standards — Part 2: Methods of chemical analysis

ISO 11352:2012, Water quality — Estimation of measurement uncertainty based on validation and quality control data

ISO 21748:2010, Guidance for the use of repeatability, reproducibility and trueness estimates in measurement uncertainty estimation

ISO/IEC Guide 99:2007, International vocabulary of metrology — Basic and general concepts and associated terms (VIM)

3 Terms and definitions

For the purposes of this document, the terms and definitions given in ISO/IEC Guide 99:2007 (VIM) and the following apply.

3.1

accepted reference value

value that serves as an agreed-upon reference for comparison, and which is derived as:

a) a theoretical or established value, based on scientific principles;